

05/1804/ 1734

PA 1156739

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

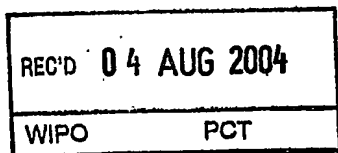
United States Patent and Trademark Office

April 15, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

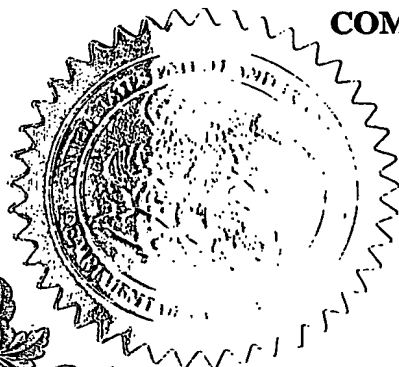
APPLICATION NUMBER: 60/459,353

FILING DATE: April 02, 2003



PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)

By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS



L. Edele

L. EDELEN
Certifying Officer

BEST AVAILABLE COPY

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

INVENTOR(S)/APPLICANT(S)

Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)	
Chen Fung LENG		Singapore	Singapore
Brandon Kim Seong KWANG		Singapore	Singapore
Cha Wee LIM		Singapore	Singapore
Anthony Sun YI-SHENG		Singapore	Singapore

☒ Additional inventors are being named on the 1 separately numbered sheet(s) attached hereto

TITLE OF THE INVENTION (500 characters max)

MULTI-CHIP BALL GRID ARRAY PACKAGE AND METHOD OF MANUFACTURE

CORRESPONDENCE ADDRESS

Direct all correspondence to the address for SUGHRUE MION, PLLC filed under the Customer Number listed below:

WASHINGTON OFFICE



23373

PATENT TRADEMARK OFFICE

ENCLOSED APPLICATION PARTS (check all that apply)

- ☒ Specification *Number of Pages* 6 ☐ CD(s), Number _____
- ☒ Drawing(s) *Number of Sheets* 4 ☐ Other (specify) _____
- ☐ Application Data Sheet. See 37 CFR 1.76

METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ A check or money order is enclosed to cover the Provisional filing fees. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.
- ☐ The USPTO is hereby authorized to charge the Provisional filing fees to our Deposit Account No. 19-4880. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

FILING FEE
AMOUNT (\$)

\$160.00

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

- ☒ No.
- ☐ Yes, the name of the U.S. Government agency and the Government contract number are:

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME Alan J. KasperTELEPHONE NO. (202) 293-7060DATE April 2, 2003REGISTRATION NO. 25,426DOCKET NO. P74738

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

PROVISIONAL APPLICATION FOR PATENT COVER SHEET
Additional Page

Docket Number		P74738	
INVENTOR(S)/APPLICANT(S)			
Given Name (first and middle if any)	Family Name or Surname	Residence (City and either State or Foreign Country)	
Wolfgang HETZEL		Nattheim	Germany
Jochen THOMAS		Munich	Germany

This invention relates generally to the field of semiconductor IC (Integrated Circuit) packaging and more particularly to the field of multi-chip packaging. This invention discloses a novel method of multi-chip packaging that serves to overcome present difficulties of such that involves chips of similar or identical sizes and of non-periphery bond pad layout. Accordingly, this invention also provides for the design and process methodologies for the manufacture of this package.

In recent times, multi-chip packaging, which is a special field of IC packaging that relates to the assembly of multiple semiconductor chips within a single IC package entity, has become increasingly popular. This is mainly driven by industry demand to package more functional silicon content into smaller form factor packages at lower cost. Packaging two or more silicon IC within the same IC package body reduces the area required and related cost on the printed circuit boards, on which the IC packages are mounted. In addition, multi-chip packaging enables close proximity and shorter electronic signal path between the chips in the package. This reduces electronic signal travel time and improves overall speed and performance.

Generally in multi-chip packaging, the component chips can be stacked vertically or arranged side-by-side on the interposer within the package body. Figures 1 and 2 show examples of multi-chip packages in stacked and side-by-side arrangement respectively.

Interconnections between chips and the external terminals of the package can be achieved by conventional wire bonding, bumps in flip chip fashion, lead bonding or combinations of the abovementioned techniques. Stacking the chips vertically requires less package body area and hence smaller space on the printed circuit board compared to arranging them side-by-side. Chip stacking is generally the preferred method applied in multi-chip packaging. However, there are still presently several fundamental difficulties in chip stacking relating to stacking of chips of similar size and special bond pad layout designs.

Draft For New Patent Application: Multi-Chip Ball Grid Array Package And Method Of Manufacture

a) For chips of similar sizes, they cannot be stacked directly on each other because the bonding pads on the bottom chip would be blocked when the next chip is stacked into them. This makes connections, for example by wire bonding, out of the chip to the external terminals of the package impossible.

5 b) For chips with non-periphery bond pad layout design, as shown in Figure 3, the probability of that the bond pads of the bottom chip being blocked is high even with smaller chip stacked on top. This also renders connection out of the bond pads of the bottom chip very difficult.

10 c) For chips with bond pads arranged in multiple rows in close proximity, as illustrated in Figure 3, it is necessary that the two chips to be facing the same direction in the package to maintain same orientation of the bond pads for after stacking to avoid complications in subsequent interconnect processes such as wire bonding.

The present invention provides a feasible solution for multiple chips of similar size and of non-periphery bond pad layout in a BGA package. Manufacturers could use this
15 invention to increase the functional capacity, such as memory capacity of semiconductor memory IC chips, with significantly reduced need for package body area and printed circuit board space requirements. It is also possible that the secondary substrate structure, as shown in Figure 7B, be subject to testing. This would allow the functional status of the chip, whether it is good or bad, be known before attaching it to the base substrate. This would reduce the
20 risk of combining a bad chip with a good one in multi-chip packaging (generally non-reversible process) and improves the final yield of the package.

The complete package structure, shown in Figure 11, comprises:

- a. Semiconductor chips with bond pads for interconnection

- b. Substrates that contain conductive patterned traces and vias to provide pathways for electrical signals between the semiconductor chips and the external terminals of the package.
- c. Adhesive layers for attaching the semiconductor chip to the substrates.
- 5 d. Conductive fine wires that connect the bond pads on the semiconductor chips to the terminals pads on the substrates and also between the terminals of the substrates.
- e. Encapsulant or molding compound that protects the semiconductor chips and related content with a defined body outline.
- f. Solderballs that forms the external terminals of the package for connecting to the
- 10 terminals on the printed circuit boards.

The present invention will now be described by way of non-limitative example only, with reference to the accompanying schematic drawings, in which:

Figures 3-11 show in perspective view successive steps in the method of packaging.

Figure 3A and 3B shows the 3D perspective of the base substrate and the secondary
15 substrate respectively. An opening is present at the center of the substrate to allow subsequent interconnecting fine wires to pass through substrate in the later processes. There is an adhesive layer on each substrate for chip attachment onto the substrate.

Figure 4A shows the cross sectional view of the base substrate of the package structure. Conductive traces are present on the both surfaces of the substrate and they are
20 protected by a layer of solder mask material which has had designated areas removed to reveal parts of the conductive traces for making subsequent interconnects. Vias are present to allow conductive traces to pass through to the opposite side of the substrate material. There is no restriction on the location of such vias on the base structure.

Figure 4B shows the cross sectional view of the secondary substrate of the package
25 structure. This substrate has conductive traces on either side of the surface, an opening at the

center of the substrate, as well as adhesive layer for chip attachment. However, there is no solder mask layer and vias present in the secondary substrate.

Figures 5A and 5B show the structure of both the base and secondary substrate respectively after chip attachment. The chip surface of which the bond pads are present is adhered onto the adhesive layer on the substrate. The bond pads are left accessible through the opening of the substrates in each case.

Figures 6A and 6B show the connection between the bond pads and the terminal pads of the substrate structures by fine wires after the wire bonding process. Alternatively, the interconnect between the top chip and the secondary substrate can be established by tiny conductive bumps by a process called flip chip attach (see figure 6C).

Figure 7A shows the base substrate structure after a layer of adhesive had been applied onto the top surface of the chip. This layer serves as adhesive agent to attach the secondary substrate onto the base structure.

In the next step, shown in Figure 7B, the wire bonded side of the secondary substrate is encapsulated completely by a protective, inert material. This material protects the bottom surface of the secondary substrate and the wire connections within the opening of the substrate.

Figure 8 shows the package structure after the attachment of the secondary substrate onto the adhesive layer on the semiconductor chip of the base substrate structure. Typically, both substrate structures are aligned along their symmetrical axis.

In the next step, shown in Figure 9, fine wires are bonded to the terminals of the base and secondary substrate to establish electrical connection paths between the two structures.

In Figure 10, the entire package structure and the wire bond at the opening of the base substrate is encapsulated by molding compound. The molding compound serves as protective housing for the package.

Other possible derivation of the package configurations are described as follows:

a) It is possible that the silicon surface of the top chip be left exposed (see Figure 12). This allows for the overall package height to be reduced in applications that has space constraints, such as mobile handsets and personal digital assistant (PDAs).

c) It is possible to add in thermal enhancement features, such a thermal conductive heat sink on top of the secondary structure to improve the thermal dissipation capability of the package. (see Figure 14)

CLAIMS

1. A semiconductor package comprising:
semiconductor chips with bond pads for interconnection,
substrates that contain conductive patterned traces and vias to provide pathways for
5 electrical signals between the semiconductor chips and the external terminals of the package,
adhesive layers for attaching the semiconductor chip to the substrates,
conductive fine wires that connect the bond pads on the semiconductor chips to the
terminals pads on the substrates and also between the terminals of the substrates,
encapsulant or molding compound that protects the semiconductor chips and related
10 content with a defined body outline,
solderballs to act as external terminals of the package for connecting to the terminals
on the printed circuit boards.
2. A semiconductor package comprising any part of the structure described in Claim 1.
15 This includes additional features of exposed silicon (as shown in Figure 12), stack up
of additional secondary structure (see figure 13) and heat sink (see Figure 14).
3. A semiconductor package comprising the structure and material according to Figure
7B of the accompanying drawings.
- 20 4. A substrate structure comprising exposed electrically conductive traces on either side
of the surface and an opening in the substrate as illustrated in Figure 4B.
5. A method of packaging semiconductor chips in accordance to the constructional
25 sequence as described with reference to Figures 4 to 11 the accompanying drawings.

Draft For New Patent Application: Multi-Chip Ball Grid Array Package And Method Of Manufacture

Illustrations (1/4)

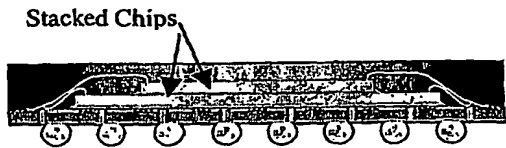


Figure 1A

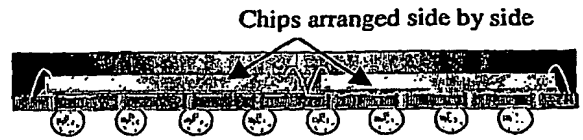


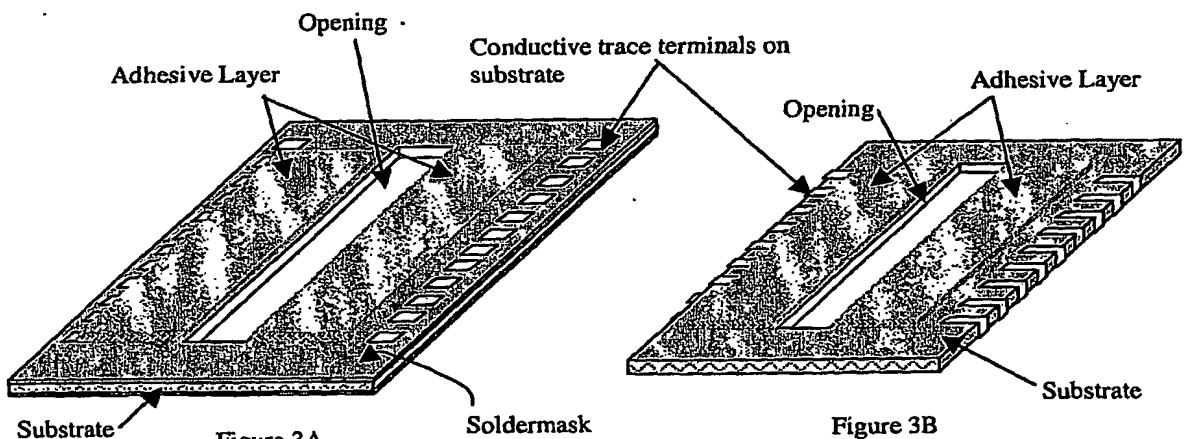
Figure 1B

5

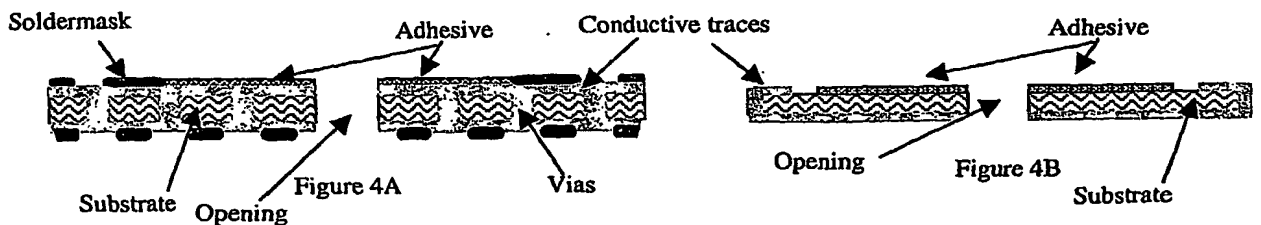


Figure 2

10



15



20

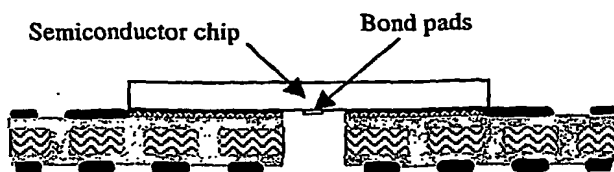


Figure 5A

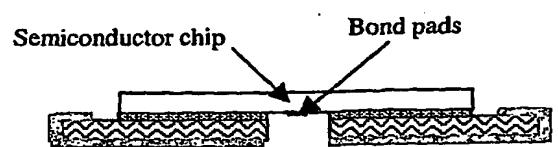


Figure 5B

25

Draft For New Patent Application: Multi-Chip Ball Grid Array Package And Method Of Manufacture

Illustrations (2/4)

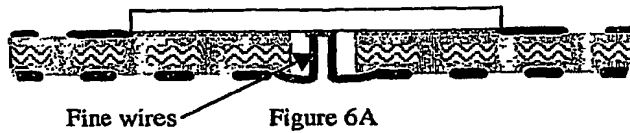
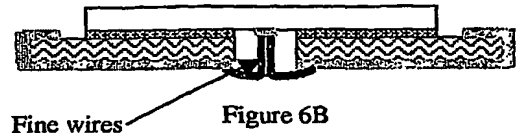


Figure 6A



Fine wires

Figure 6B

5

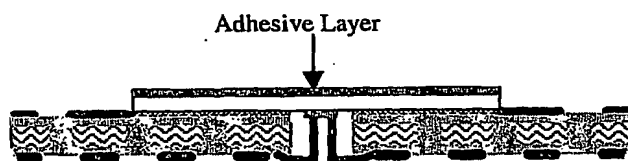


Figure 7A



Figure 7B

Encapsulant

10

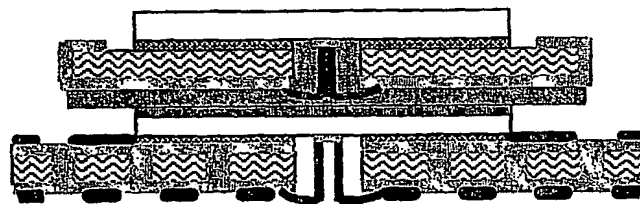


Figure 8

15

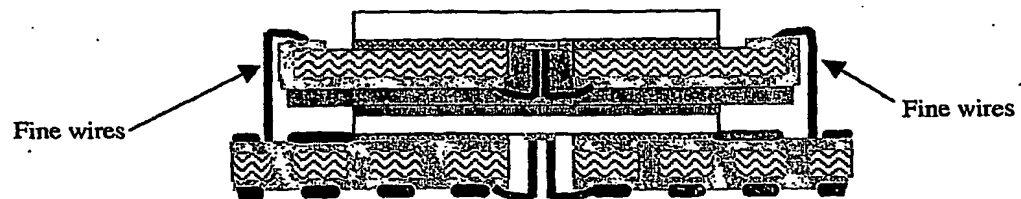


Figure 9

20

25

Illustrations (3/4)

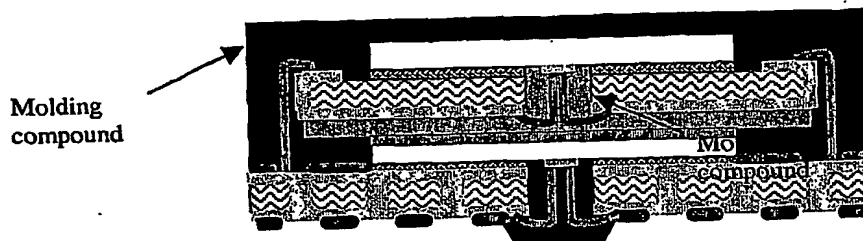


Figure 10

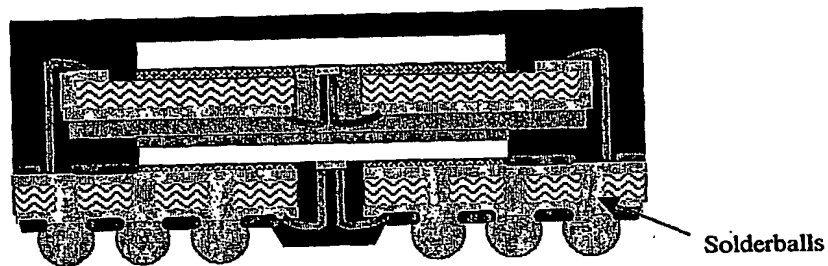


Figure 11

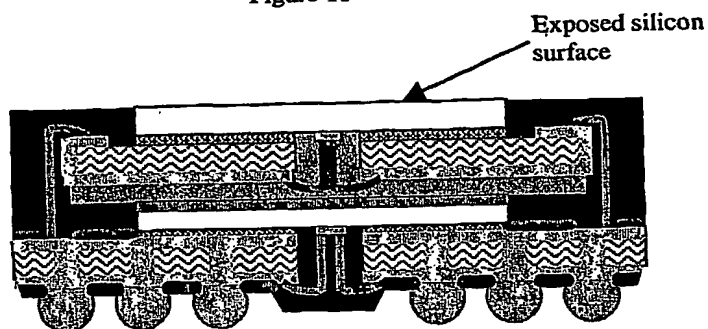


Figure 12

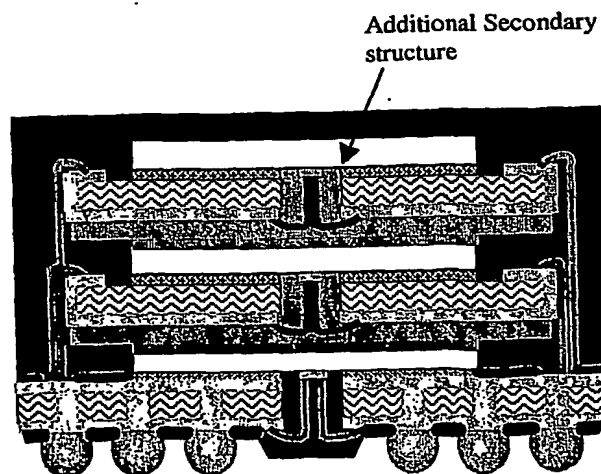


Figure 13

Draft For New Patent Application: Multi-Chip Ball Grid Array Package And Method Of Manufacture

Illustrations (4/4)

Thermal conductive heat sink
attached to chip by adhesive

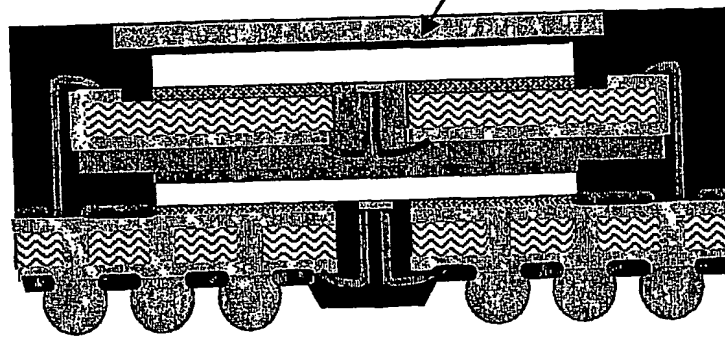


Figure 14

This Page is inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images
problems checked, please do not report the
problems to the IFW Image Problem Mailbox**